

Regulatory Notice 7.6 Compensation for Corporate Action Entitlements under Rule 7.6

1. Subject to paragraph 2, the corporate action entitlements in respect of which CDP may submit Settlement Instructions for compensation of a receiving Settlement Participant if the delivering Settlement Participant fails to deliver are as set out in Appendix 1 to this Regulatory Notice.

~~Appendix 1 to Regulatory Notice 7.6~~

~~1. The corporate action entitlements referred to in paragraph 1 of Regulatory Notice 7.6 are as follows:~~

~~(a) Mandatory without option corporate action entitlements, other than non-renounceable securities, bond redemptions or compulsory acquisitions.~~

2. CDP will not submit Settlement Instructions for compensation if the Settlement Instruction was a linked account Settlement Instruction and the corporate action entitlement is in the form of money.

Appendix 1 to Regulatory Notice 7.6

The corporate action entitlements referred to in paragraph 1 of Regulatory Notice 7.6 are as follows:

(a) Mandatory without option corporate action entitlements, other than non-renounceable securities, bond redemptions or compulsory acquisitions.