

Amendments to Catalist Rules

Legend: Deletions are struck-through and insertions are underlined.

Definitions and Interpretation

"SGX RegCo"

Singapore Exchange Regulation Pte. Ltd.

"SGX RegCo Board"

SGX RegCo's ~~the Exchange's~~ board of directors

Chapter 3 Disciplinary and Appeals Procedures, and Enforcement Powers of the Exchange

Part II Types of Committees

Disciplinary Committee

303(2)

The Disciplinary Committee shall comprise persons appointed by the SGX RegCo Board in consultation with the Authority, and shall not have a member who is, or who within 3 years of the proposed appointment date was, a director, officer or employee of:

- (a) SGX; or
- (b) a related corporation of SGX.

Appeals Committee

304(2)

The Appeals Committee shall comprise persons appointed by the SGX RegCo Board in consultation with the Authority, but shall not have a member who is, or who within 3 years of the proposed appointment date was, a director, officer or employee of:

- (a) SGX; or
- (b) a related corporation of SGX.

Practice Note 7B Monitoring and Querying Unusual Trading Activity

Part I Introduction

- 1 This Practice Note provides information on the role of the surveillance function SGX Market Surveillance ("Surveillance") and the procedures normally employed when an issuer is queried regarding trading in its securities.