



8 June 2005

Circular No. DC/AM – 19 of 2005

To: All Members

NEW SGX-DC RULE 4.09A - NOTIFICATION OF DECISION

Please be informed that Rule 4.09A (attached as Appendix) is inserted under Chapter 4 of the SGX-DC Rules.

The rule allows the Clearing House to:

1. notify all Members and Authorised Members of all charges established by its Disciplinary Committee; and
2. publish decisions of its Disciplinary Committee

The rule shall take immediate effect.

JOYCE FONG
SENIOR VICE PRESIDENT
HEAD, LEGAL & COMPANY SECRETARY

CHANGES TO SGX-DC RULES (NEW RULE 4.09A) EFFECTIVE 8 JUNE 2005

Old Rule	New Rule
None.	<p>4.09A NOTIFICATION OF DECISION</p> <ol style="list-style-type: none">1. The Clearing House will notify all Members and Authorised Members of all charges established by the Disciplinary Committee (together with such details as the Clearing House thinks appropriate).2. The Clearing House may make the decision public (together with such details as the Clearing House thinks appropriate). For avoidance of doubt, this includes publication of the following information:-<ol style="list-style-type: none">a. the particulars of the Respondent;b. the particulars of the charge;c. the underlying facts in respect of the charge;d. the findings and decision of the Disciplinary Committee or the Appeals Committee (as applicable);e. the basis of the findings and decision of the Disciplinary Committee or the Appeals Committee (as applicable); andf. the powers exercised by the Disciplinary Committee or the Appeals Committee (as applicable).3. This Rule operates as irrevocable consent by a Member and an Authorised Member for the Clearing House to publish or notify a decision. The consent remains valid and effective notwithstanding that the person ceases to be a Member or Authorised Member. A Member or Authorised Member cannot initiate any action or proceeding against the Clearing House or members of the Disciplinary Committee or Appeals Committee for publishing or notifying a decision under this Rule.