

Amendments to Mainboard Rules

Legend: Deletions are struck-through and insertions are underlined.

Definitions and Interpretation

"SGX RegCo"

Singapore Exchange Regulation Pte. Ltd.

"SGX RegCo Board"

SGX RegCo's ~~the Exchange's~~ board of directors

Chapter 1 Introduction

110

Listings Advisory Committee

Composition

(2) The Listings Advisory Committee shall comprise members appointed by the SGX RegCo Board in consultation with the Authority, and shall not have a member who is, or who within 3 years of the proposed appointment date was, a director, officer or employee of:

- (a) SGX; or
- (b) a related corporation of SGX.

Chapter 14 Disciplinary and Appeals Procedures, and Enforcement Powers of the Exchange

Part II Types of Committees

Disciplinary Committee

1403(2)

The Disciplinary Committee shall comprise persons appointed by the SGX RegCo Board in consultation with the Authority, and shall not have a member who is, or who within 3 years of the proposed appointment date was, a director, officer or employee of:

- (a) SGX; or
- (b) a related corporation of SGX.

Appeals Committee

1404(2)

The Appeals Committee shall comprise persons appointed by the SGX RegCo Board in consultation with the Authority, but shall not have a member who is, or who within 3 years of the proposed appointment date was, a director, officer or employee of:

- (a) SGX; or
- (b) a related corporation of SGX.

Practice Note 1.2 Oversight of Issuers

3. Regulatory Objectives

3.4 SGX has established SGX RegCo with effect from 15 September 2017. Functions previously performed by IR, part of the Risk Management and Regulation Group, and succeeding units or functions, will be performed by SGX RegCo.

Practice Note 7.2 Monitoring and Querying Unusual Trading Activity

1. Introduction

1.1 This Practice Note provides information on the role of the surveillance function SGX Surveillance ("Surveillance") and the procedures normally employed when an issuer is queried regarding trading in its securities.