

Amendments to SGX-ST Rules

Note: Amendments are marked in red.

Chapter 1 General

1.1 Application Of Rules

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1.1.5

A person who is not a party to the Rules has no rights under the Contracts (Rights of Third Parties) Act ~~2001, Chapter 53B of Singapore~~ to enforce or enjoy the benefit of the Rules, regardless of whether such person has been identified by name, as a member of a class or as answering a particular description.

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1.14 Fidelity Fund

1.14.1

SGX-ST will establish and administer a fidelity fund in accordance with Part ~~11X~~ of the Securities and Futures Act.

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Chapter 3 Capital and Financial Requirements

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3.8 Exposure to Single Security

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3.8.5

"Exposure to a single security" means the sum of the following:

- (a) the amount of the single security underwritten or sub-underwritten by or placed with a Trading Member, after deducting the amount that the Trading Member has sub-underwritten or placed with:
 - (i) a bank licensed under the Banking Act ~~1970, Chapter 19 of Singapore~~;
 - (ii) a merchant bank approved as a financial institution under the Monetary Authority of Singapore Act ~~1970, Chapter 186 of Singapore~~;
 - (iii) a finance company licensed under the Finance Companies Act ~~1967, Chapter 108 of Singapore~~;
 - (iv) a company or co-operative society that is licensed under the Insurance Act ~~1966, Chapter 142 of Singapore~~ to carry on insurance business as an insurer;

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Chapter 4 Operational Requirements

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4.35 Broker-linked Balances

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4.35.2

The Trading Member shall act in accordance with the written agreement, the Rules and the [Securities and Futures Act](#), save that nothing in the Rules shall prevent a Trading Member from releasing securities from the broker-linked balance linked to it into the customer's main balance.

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Chapter 13 Definitions and Interpretation

13.1 Definitions

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"Companies Act"	Companies Act 1967, Chapter 50 of Singapore , or any statutory modification, amendment or re-enactment thereof for the time being in force, or any statute that may replace it, and unless the context otherwise requires, includes any subsidiary legislation or regulations made pursuant thereto.
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"Securities and Futures Act" or "SFA"	Securities and Futures Act 2001, Chapter 289 , or any statutory modification, amendment or re-enactment thereof for the time being in force, or any statute that may replace it, and unless the context otherwise requires, includes any subsidiary legislation or regulations made pursuant thereto.
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