

## Amendments to the CDP Clearing Rules

### Schedule A

#### Rule 7 — Clearing Fund

Rule Violation		Whether composition may be offered	Composition Amount which may be Offered by CDP, where CDP has Determined the Clearing Member to be Liable			Mandatory minimum penalty imposable by the DC
Rule Chapter/Number	Brief Description of Rule		1st Violation	2nd Violation	3rd Violation	
Rule 7 — Clearing Fund						
<del>7.15-7.2</del>	<del>Time Payment of</del> <u>Top up of Clearing Member's Contributions in an Event of Default</u>					
<del>7.15.1-7.2.1</del>	Clearing Member to pay the required <del>Contributions to the Clearing Fund or to top up deficiency in Contribution</del> within prescribed time <u>stipulated by CDP</u>	Compoundable	\$2,000 – \$4,000	\$4,000 – \$7,000	\$7,000 – \$10,000	N.A.
<u>7.10</u>	<u>Top Up of Clearing Member's Contributions</u>					
<u>7.10.3</u>	<u>Clearing Member to top up deficiency in Clearing Fund contributions within time determined by CDP</u>	<u>Compoundable</u>	<u>\$2,000 – \$4,000</u>	<u>\$4,000 – \$7,000</u>	<u>\$7,000 – \$10,000</u>	<u>N.A.</u>

7.7A	Interest and Fees					
7.7A.1	Clearing Member to pay CDP such administrative fees as may be prescribed by CDP for the investment and management of the assets of the Clearing Fund and any excess monies and assets placed with CDP in respect of the Clearing Fund.	Compoundable	\$500	\$1,000	\$2,000	N.A.